



International Meeting of CSRME 14th Biennial National Congress, 14-17
December 2016, Hong Kong, China

Characteristics on Rock Fractures Induced by Different Excavation Methods of Deep tunnels

Shaojun Li

State Key Laboratory of Geomechanics and Geotechnical Engineering
Institute of Rock and Soil Mechanics, Chinese Academy of Sciences





Outline

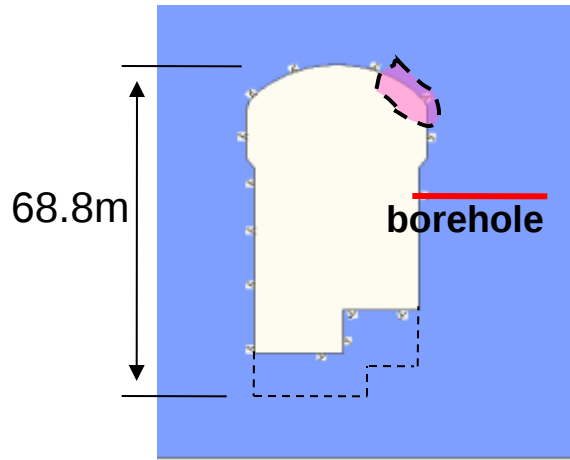
- Motivations
- Method of rock fracture measurement
- Deep tunnels excavated by different methods
- Characteristics of rock fractures and hazards
- Conclusions





Motivations

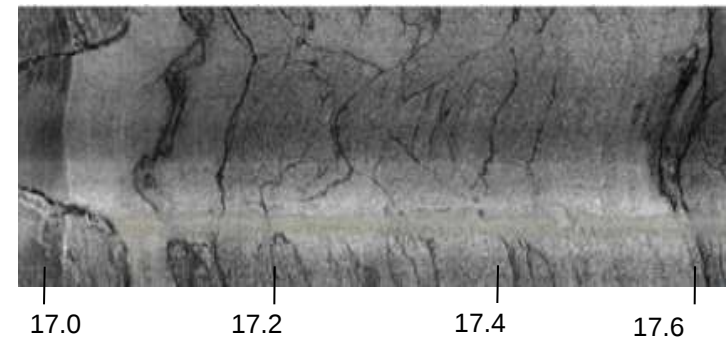
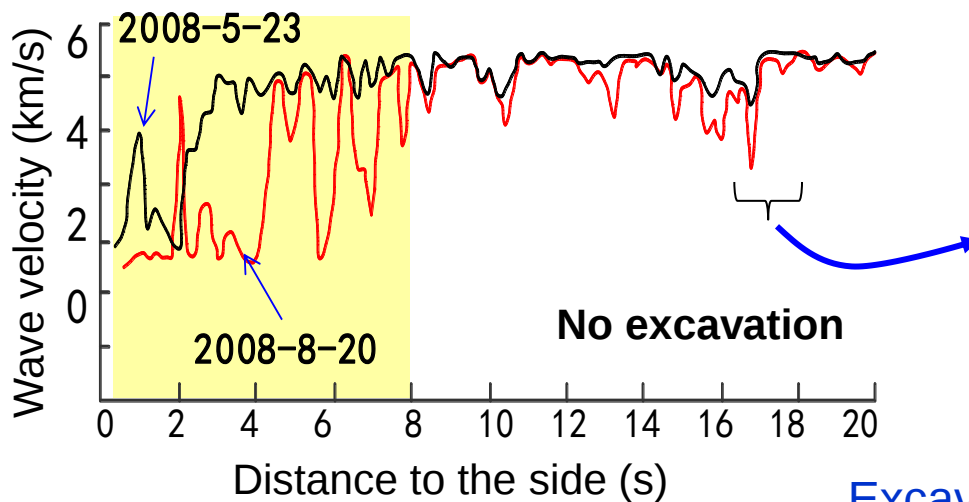
- Fracture of hard rock induced serious instability of deep openings : **Example of Jinping I underground caverns**



- Large deformation
- Big fractures



Fall of sprayed concrete



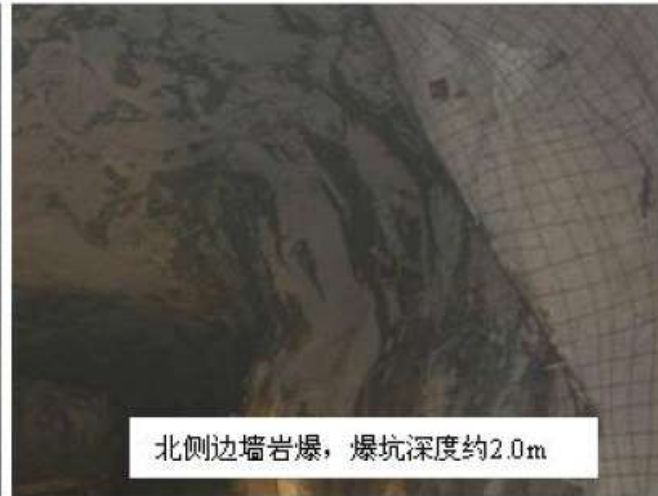
Fractured marble

Excavation halted for more than 6 months



□ Rockbursts in deep openings are also related to fracture evolution

Sidewall
rockburst

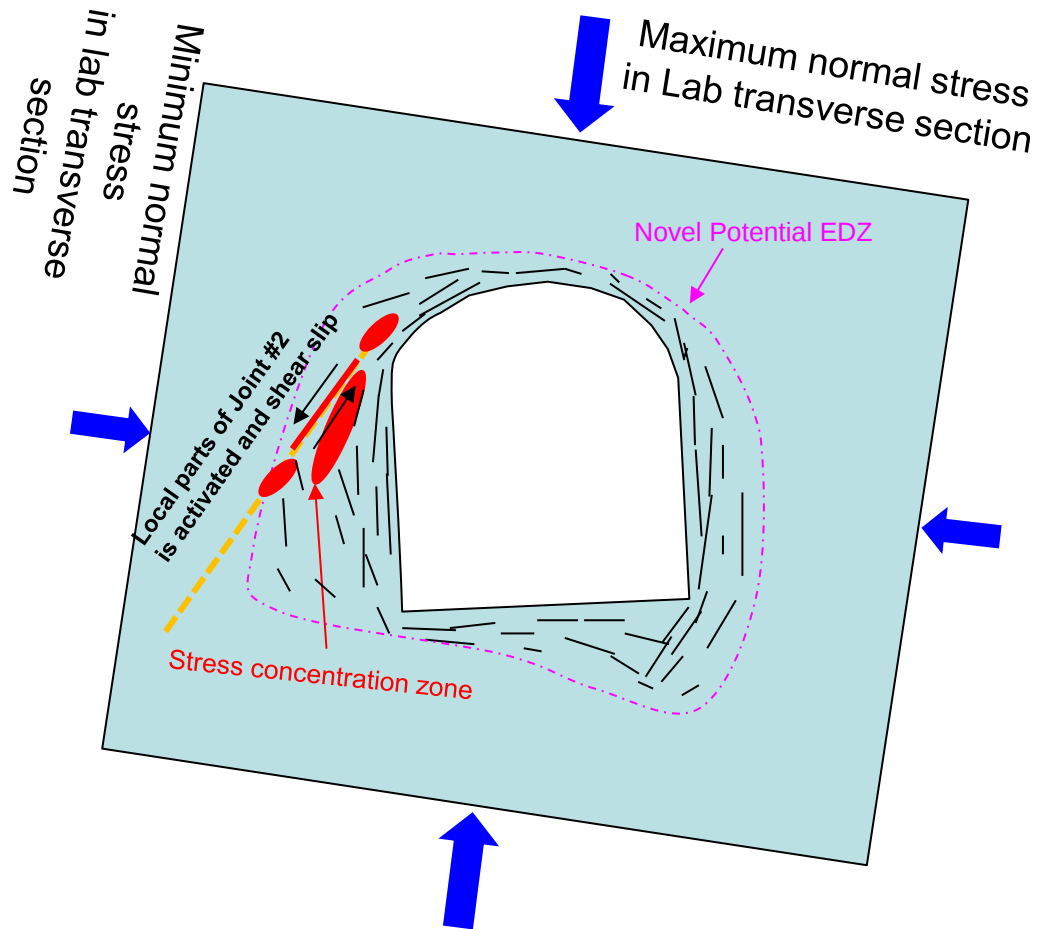


Notch left.
depth : 2.0m

Steel mesh
destroyed



Tunnel floor
Fractured



Fracture distribution abundant boreholes around surrounding rock mass

Sit investigations were conducted to understand the correlation between fracture and tunnel stability and hazards



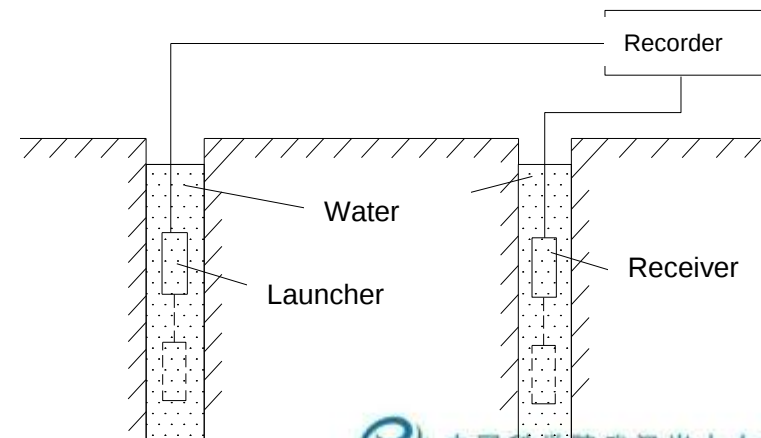
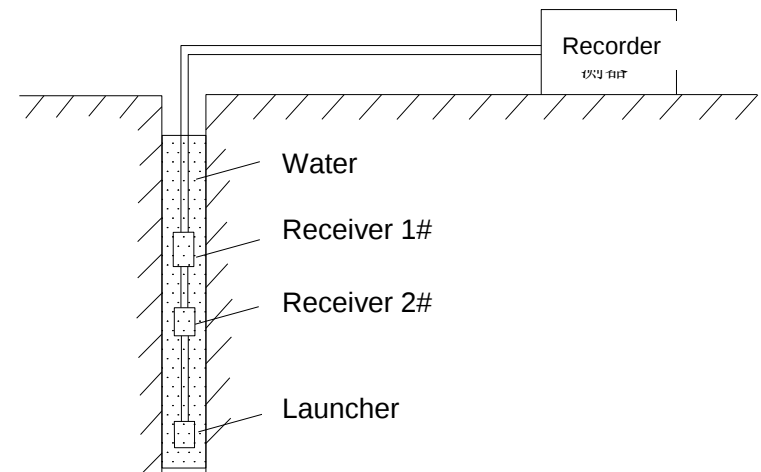


Method of rock fracture measurement

Comprehensive measurement by acoustic wave velocity and digital borehole televiewer



**Acoustic wave apparatus
(single or cross-hole method)**





Digital borehole televiewer system

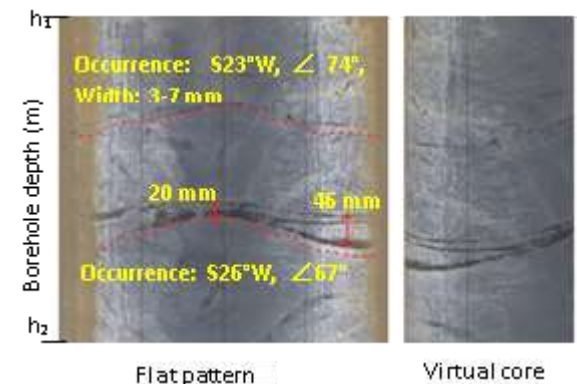
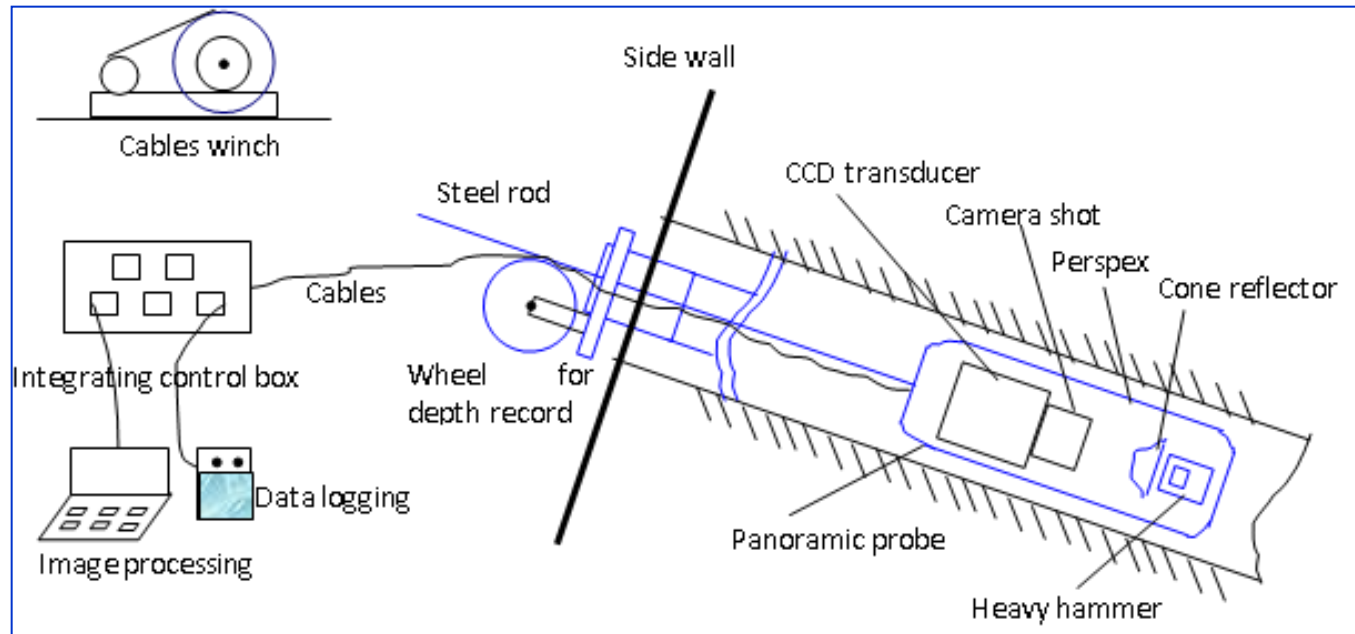
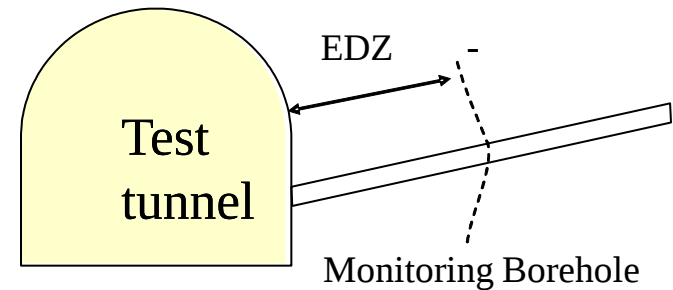
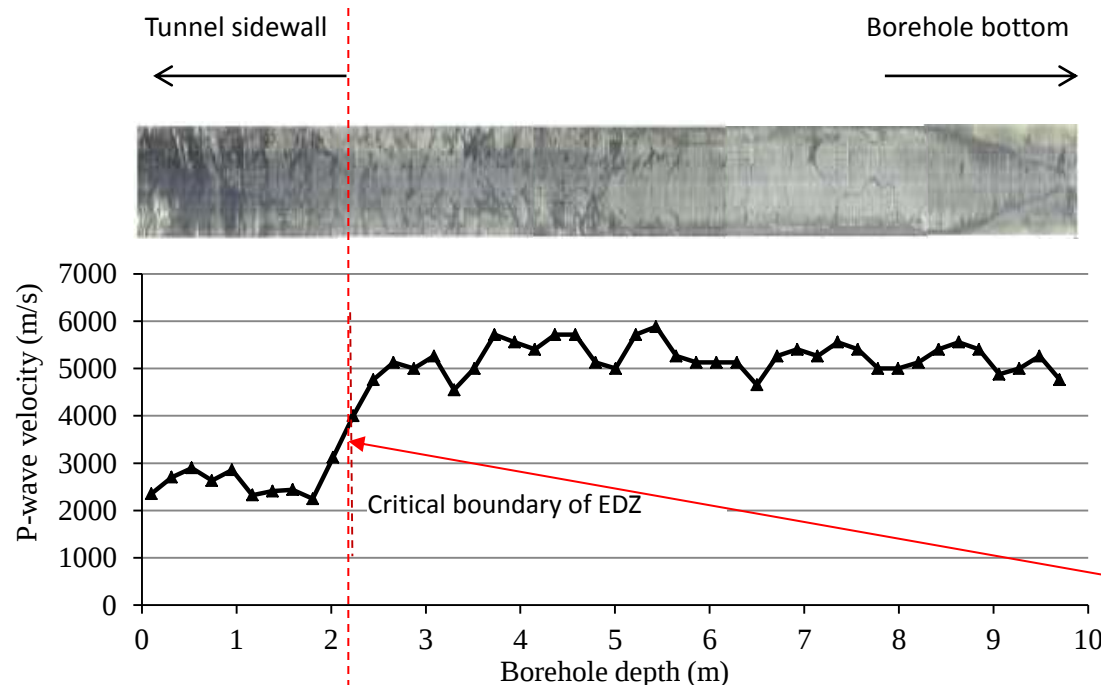


Image of borehole wall and fractures



■ Comprehensive recognition of excavation damaged zone (EDZ)

new fractures observed by digital borehole camera and P wave velocity, $>0.2\text{mm}$

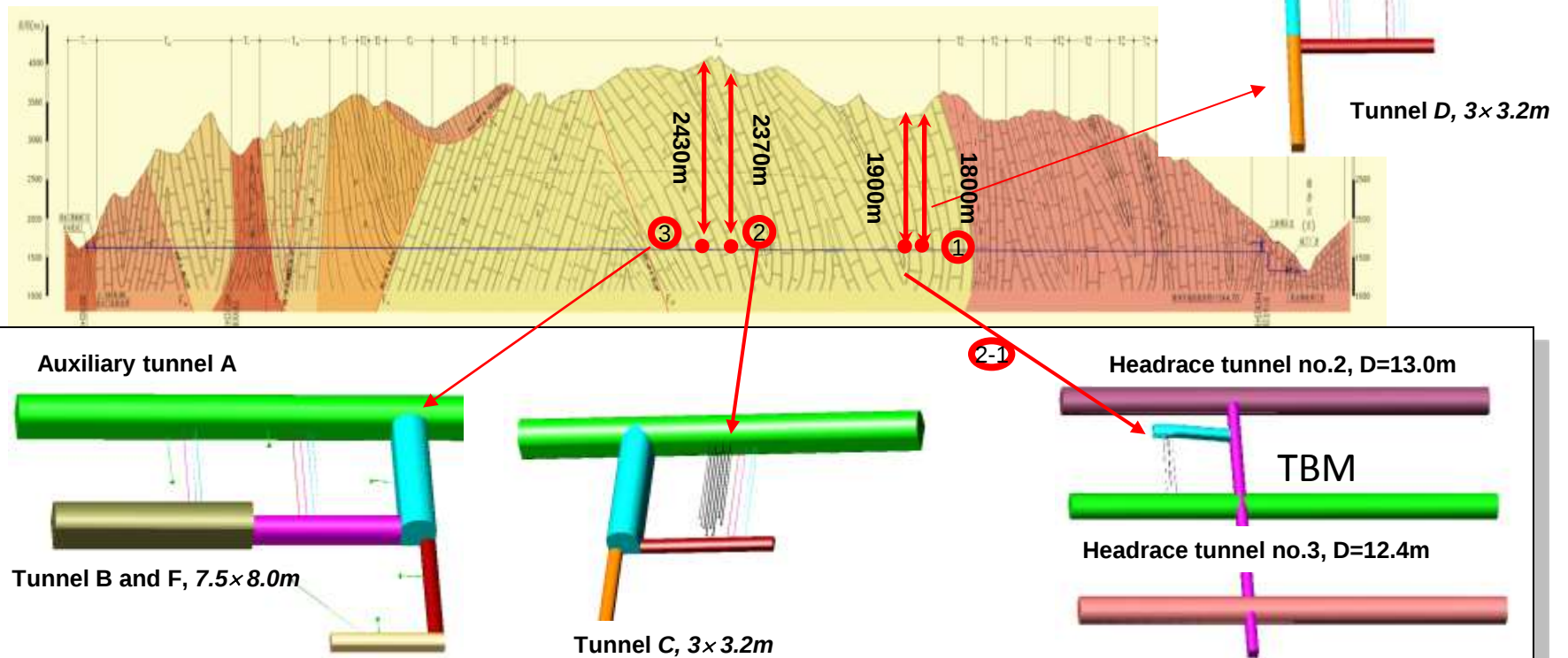


Lower than 30% of average velocity of original rockmass

Deep tunnels excavated by different method

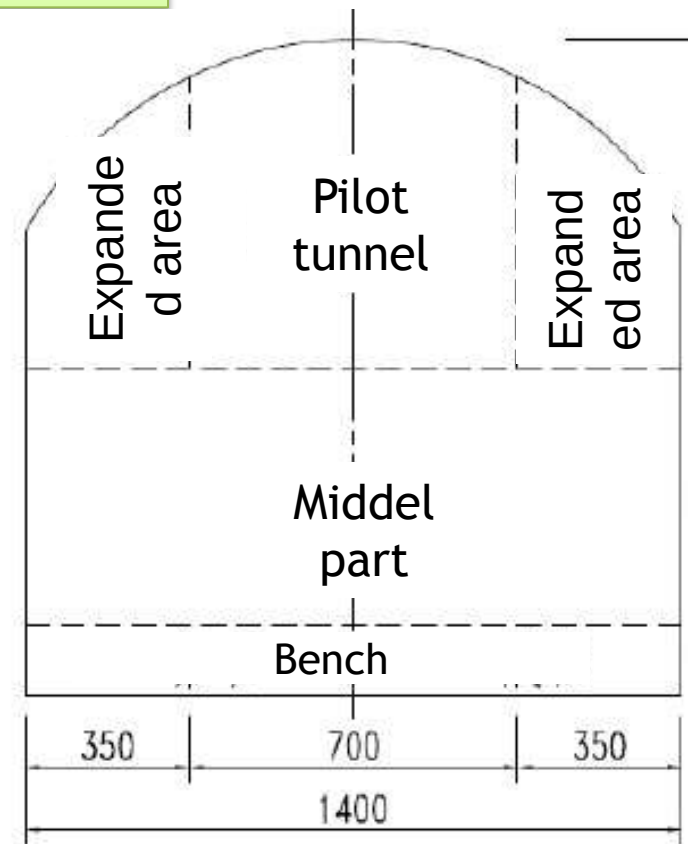
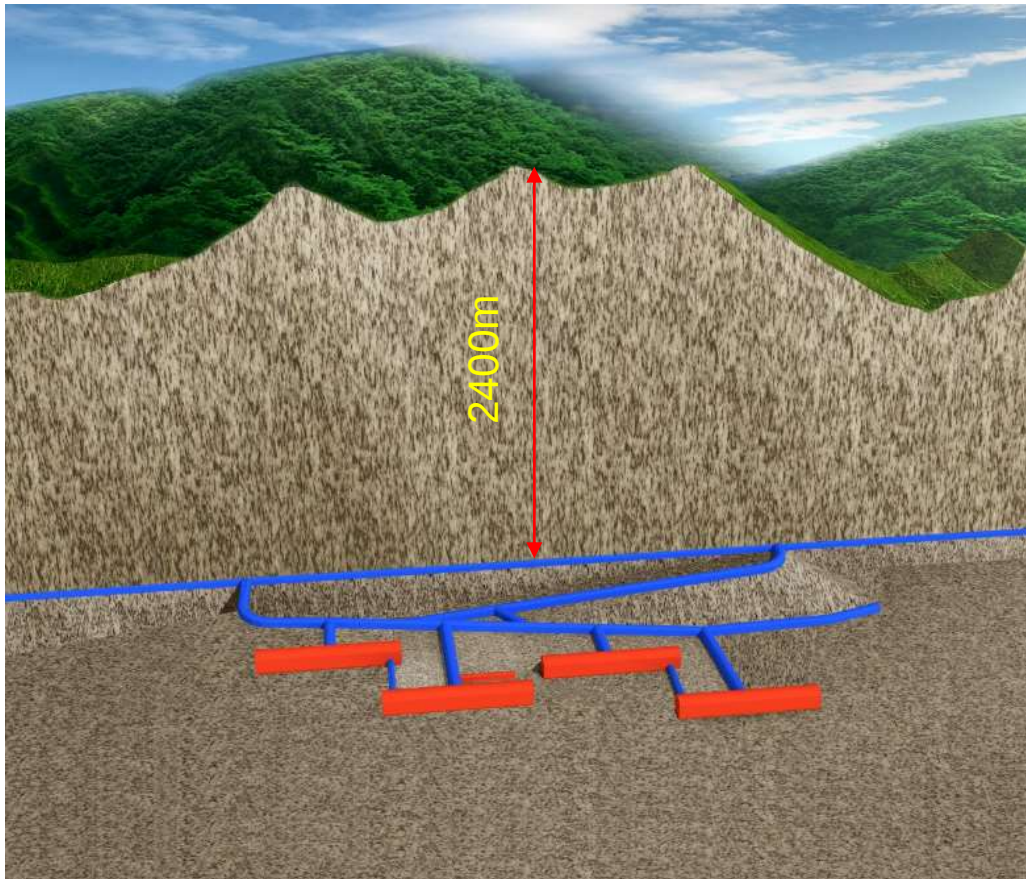
CJPL-1: China Jinping underground laboratory

- Excavated in marble by TBM and D&B, full face





CJPL-II: China Jinping underground laboratory



● Excavated in marble by D&B

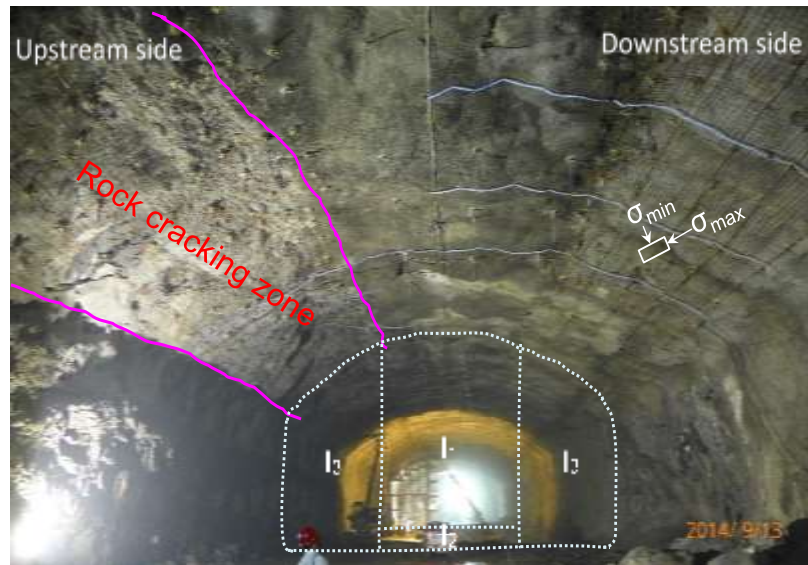
Excavation scheme:

Three layers, top heading (8.5m) with pilot tunnel, middle of 4.5m, bench with 1.0 m

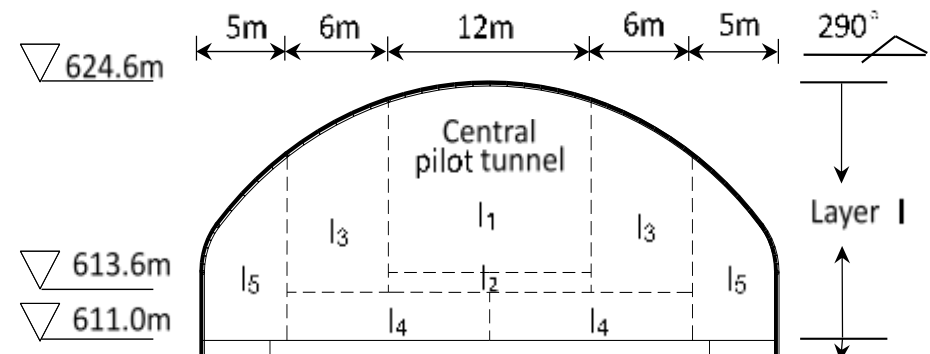




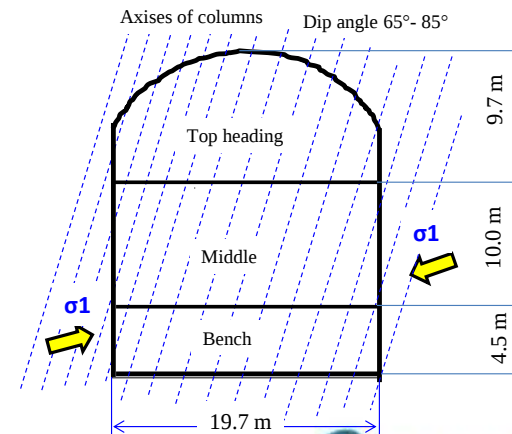
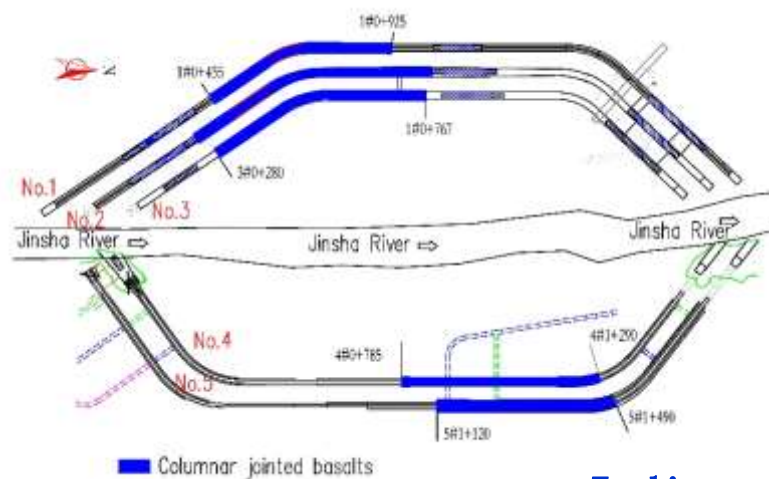
Baihetan hydropower station



- Excavated at different layers in basalt
- Drilling and blasting method



The current biggest one, main power house, dimension: $434 \times 34(31) \times 86.7$ m (L $\times$ W $\times$ H)



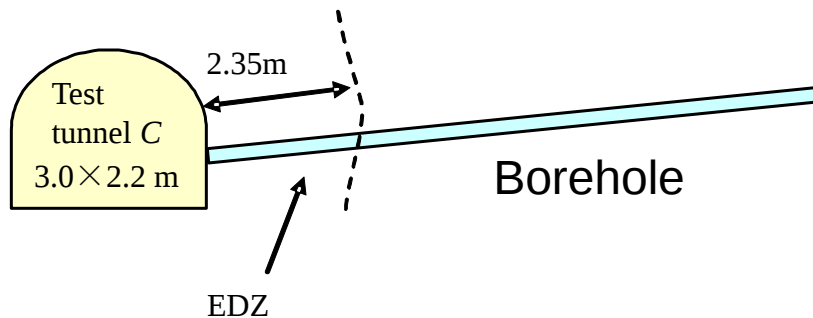
5 diversion tunnels



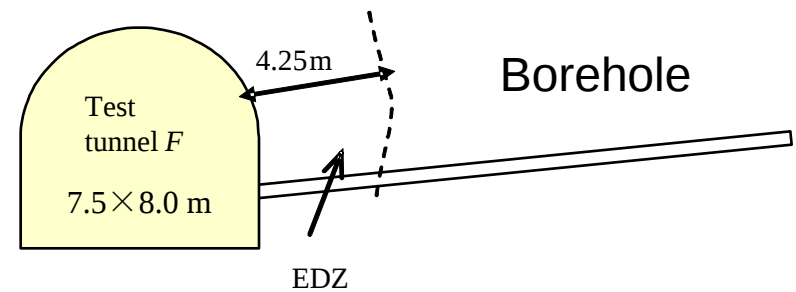


Characteristics of rock fractures and hazards

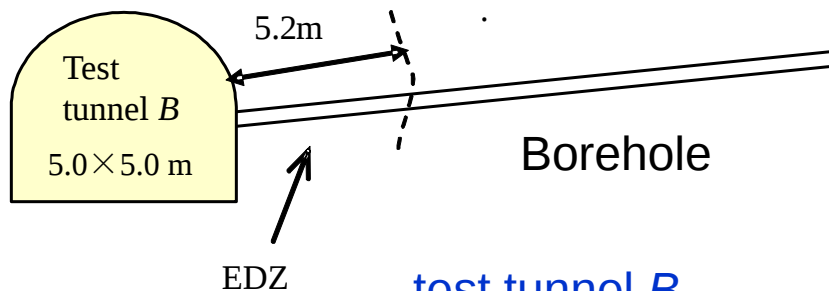
Change of Excavation damaged zone



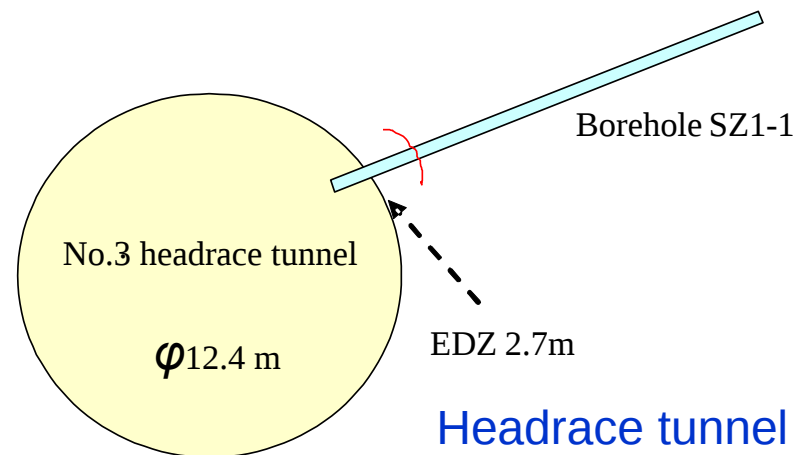
test tunnel C



Test tunnel F



test tunnel B



Headrace tunnel

More than 80 boreholes were pre-drilled before excavation





Statistics of EDZ under different tunnel sizes of CJPL-1

Tunnel No.	Width of EDZ/EdZ (m)		Tunnel section (m)		Relationship with tunnel geometry		Excavation method
	EDZ (ew)	EdZ (dw)	Width (w)	Height (h)	R_{ew}	R_{eh}	
Test tunnel B	5.2	6.8	5.0	5.0	1.1	1.1	D & B) (Full-face)
Test tunnel C	2.35	6.35	3.0	2.2	0.78	1.1	D & B (Full-face)
Test tunnel F	4.25	6.5	7.5	8.0	0.57	0.53	D & B (two benches)
No.3 headrace tunnel	2.7	6.3	$\phi 12.4$		0.22		TBM (Full-face)

$$R_{ew} = ew / w \quad R_{eh} = ew / h$$

R_{ew} and R_{eh} are 0.78-1.1 times of tunnel width and height (For D&B and full-face excavation method)

R_{ew} and R_{eh} are 0.55 times of tunnel width and height (For D&B excavation with two benches)

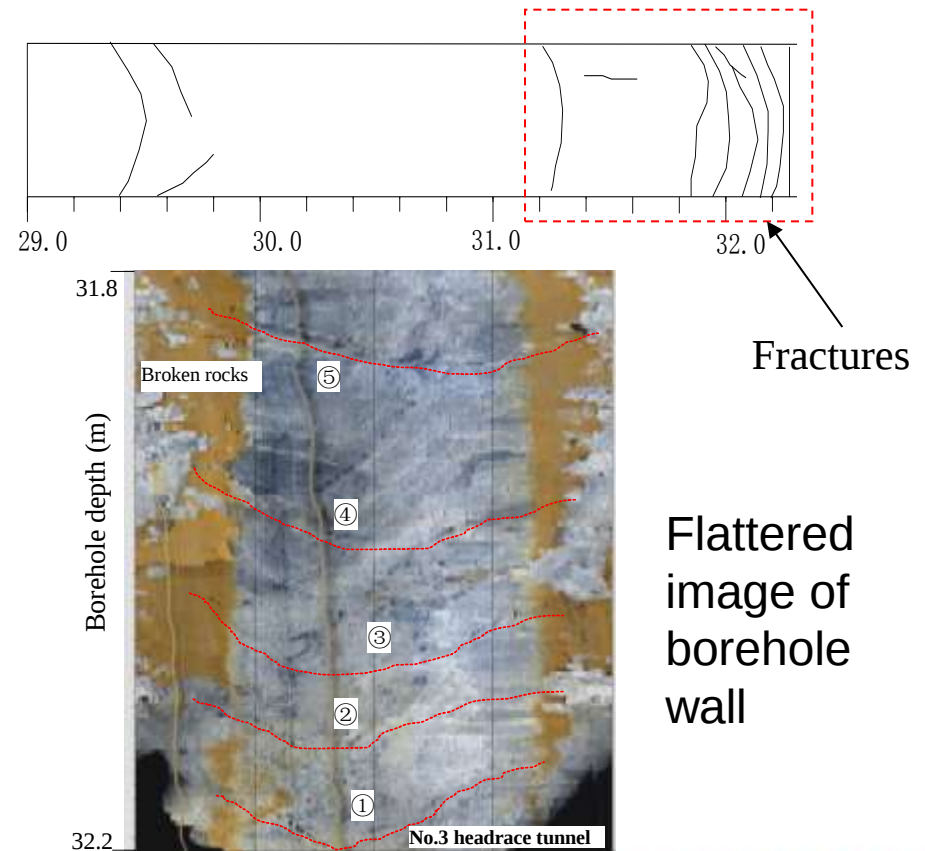
R_{ew} and R_{eh} are 0.22 times of tunnel diameter (For TBM excavation)

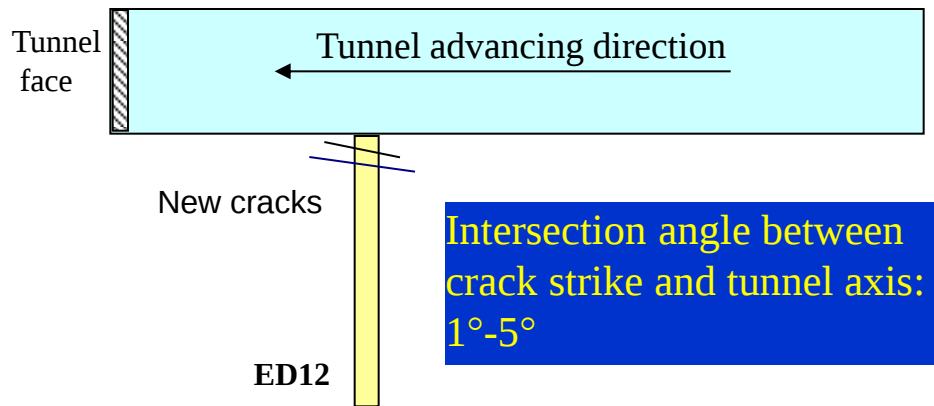




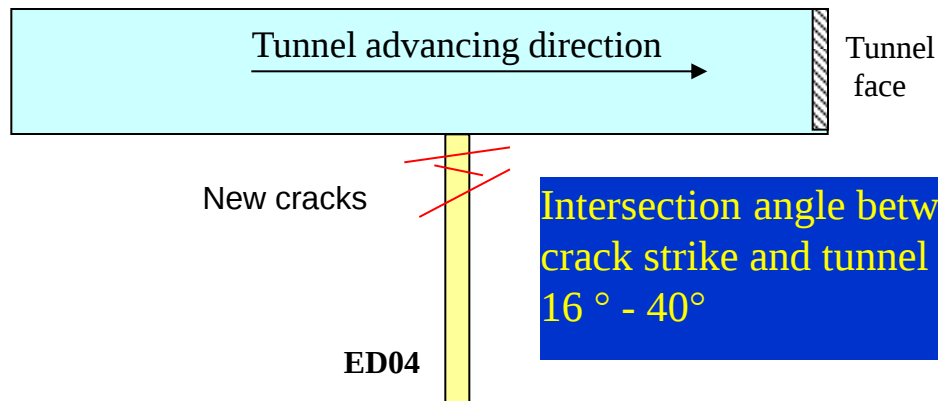
Property of rock fracture induced by excavation

- *In situ* observation on tunnel sidewalls
- Rock spalling occurred but fractures can also be found in deep rock mass

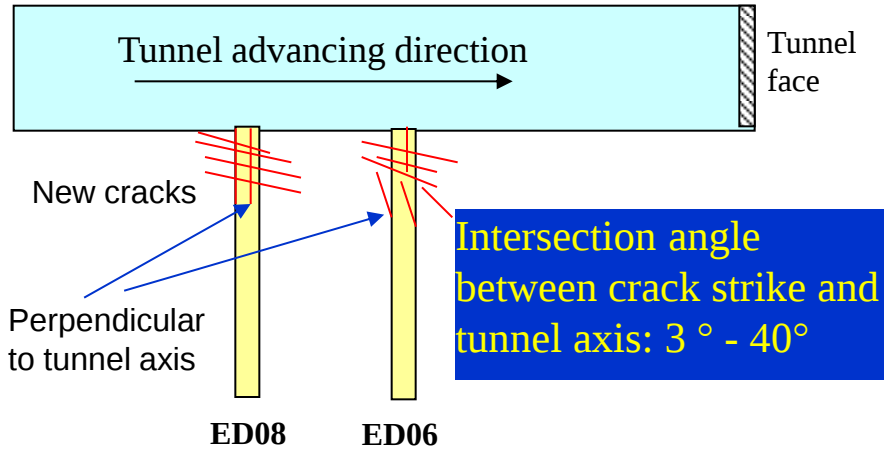




**Test
tunnel C**



**Test
tunnel B**

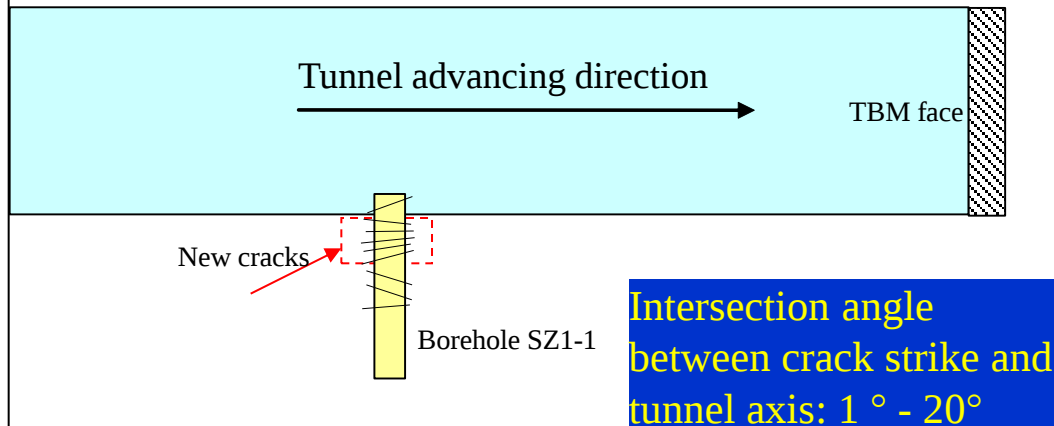


Northern sidewall

Test tunnel F



Southern sidewall



No.3 headrace tunnel



- Different direction of fracture can be found in D&B tunnels
- Fractures in TBM tunnel are almost parallel to axis

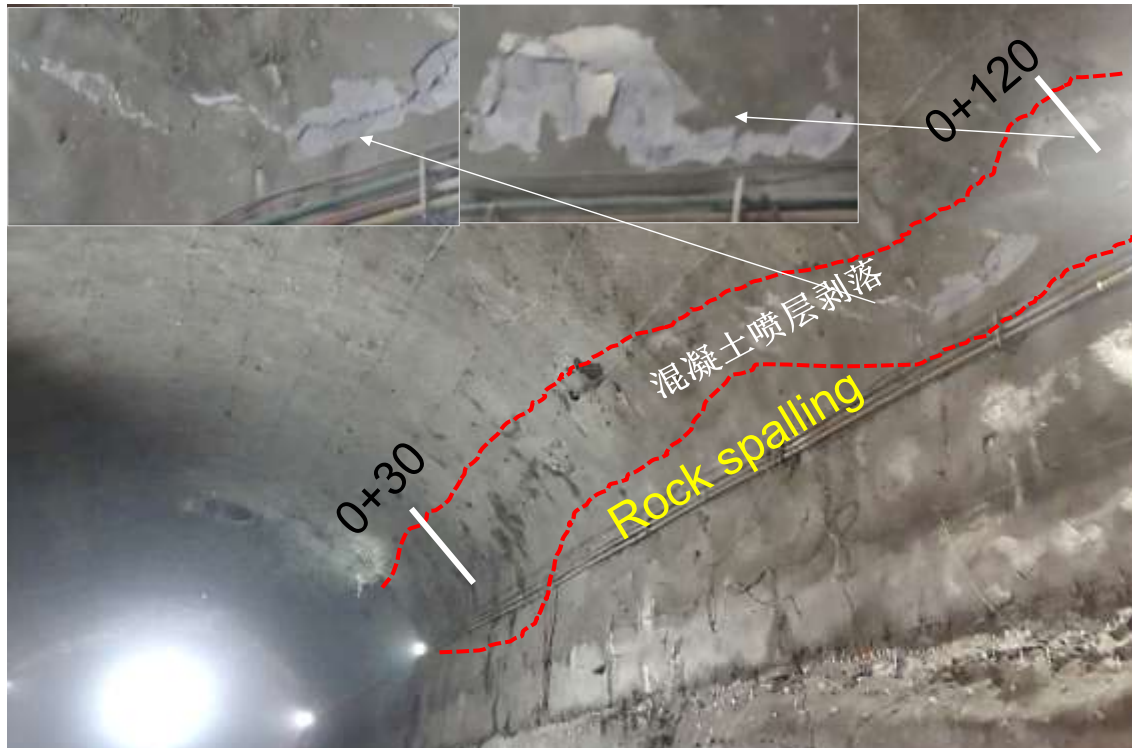




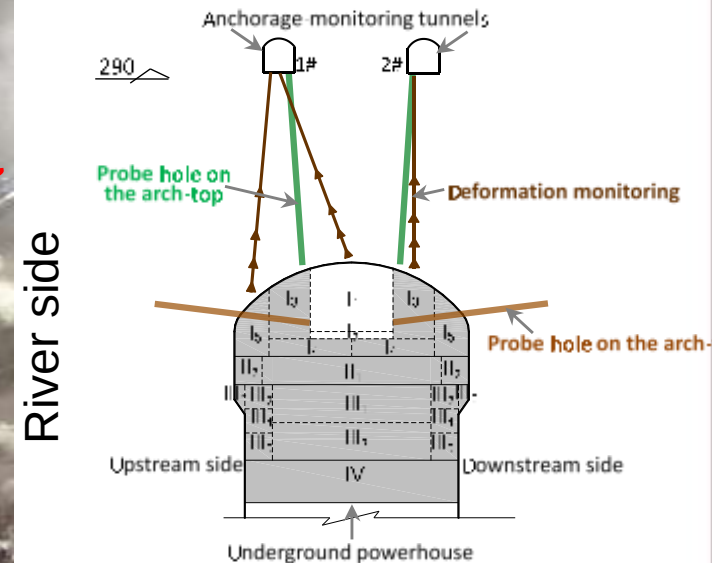
Fracture evolution and spalling

❑ Observation and calculation during the excavation layer 3

- 2015.9.27, the workers heard a big sound, spalling happened inside the rock mass
- Following detail check found that there were many cracks along 0+30 - 0+133 at the crown.



Main power house of Baihetan



Two borehole preinstalled

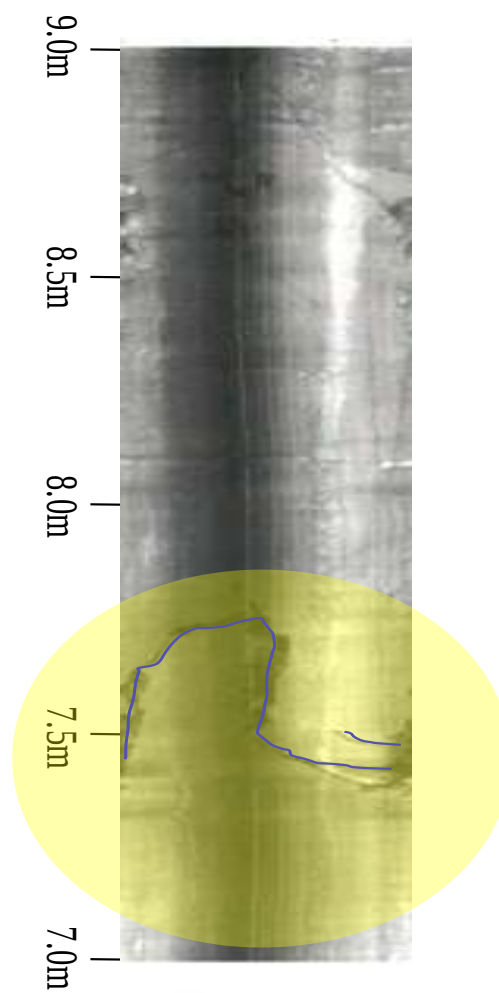
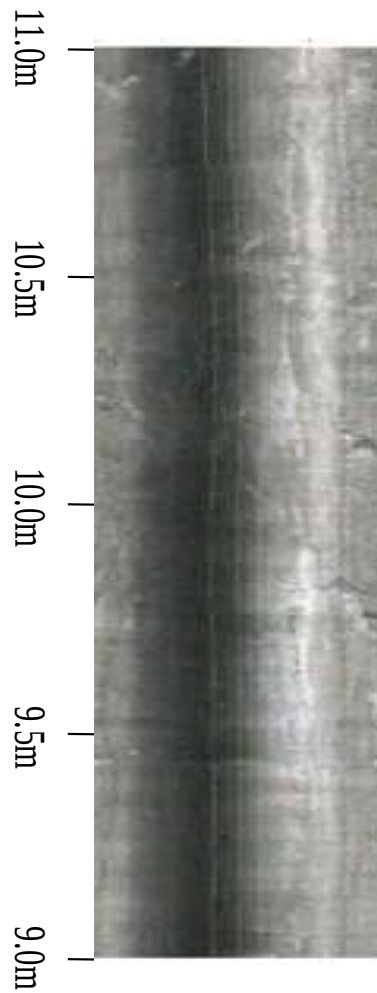
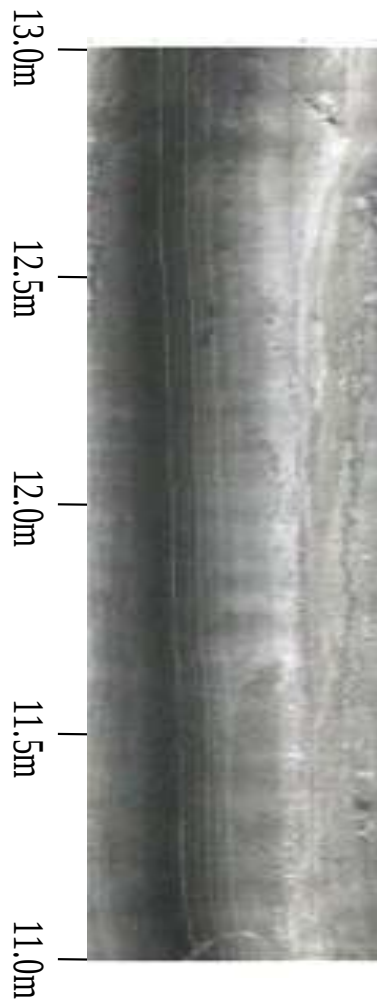




□ Observation and calculation during the excavation layer 3

✓ Observation in the boreholes at 0+72

Date:
2015-9-27



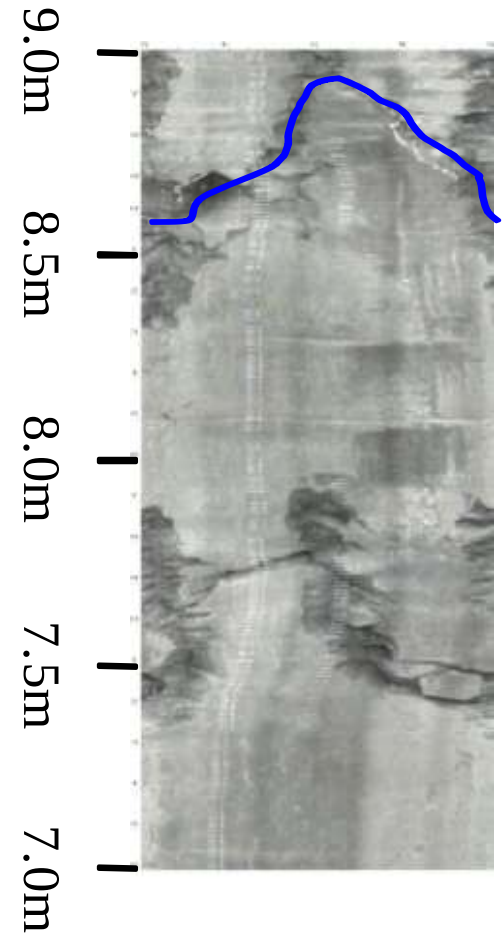
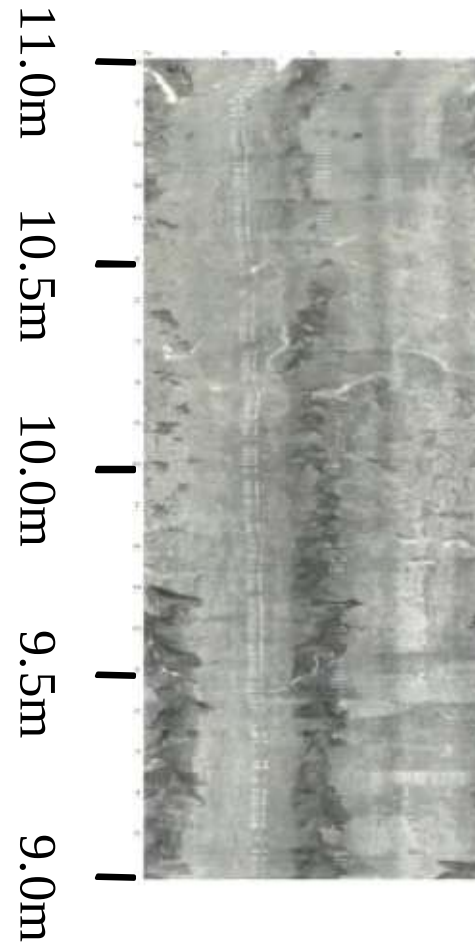
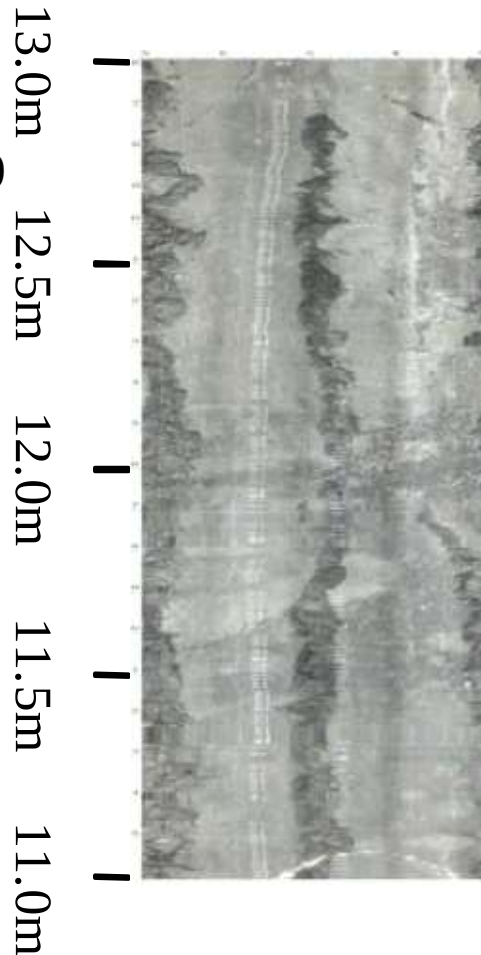


□ Observation and calculation during the excavation layer 3

✓ Observation in the boreholes at 0+72

Date:

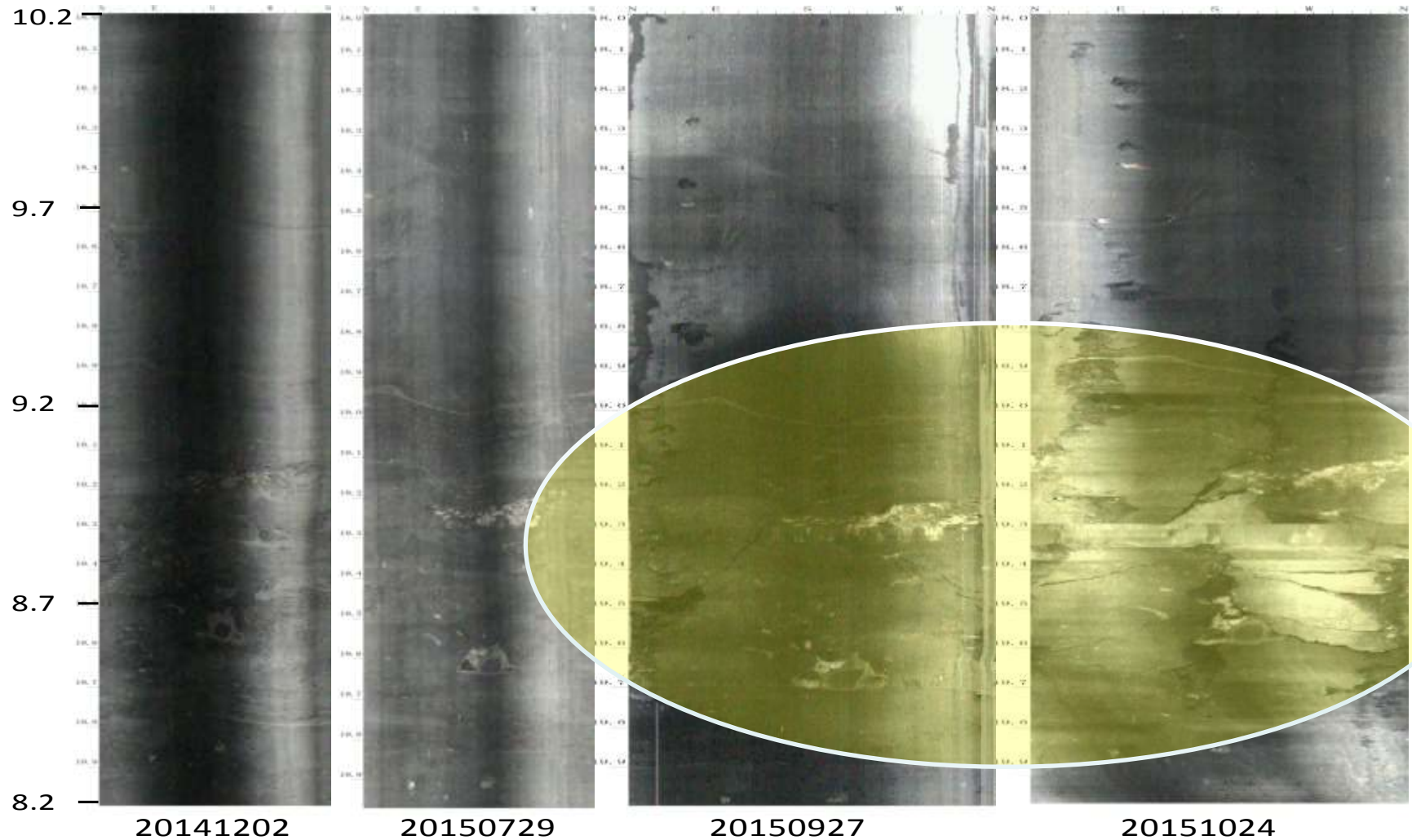
2015-11-29





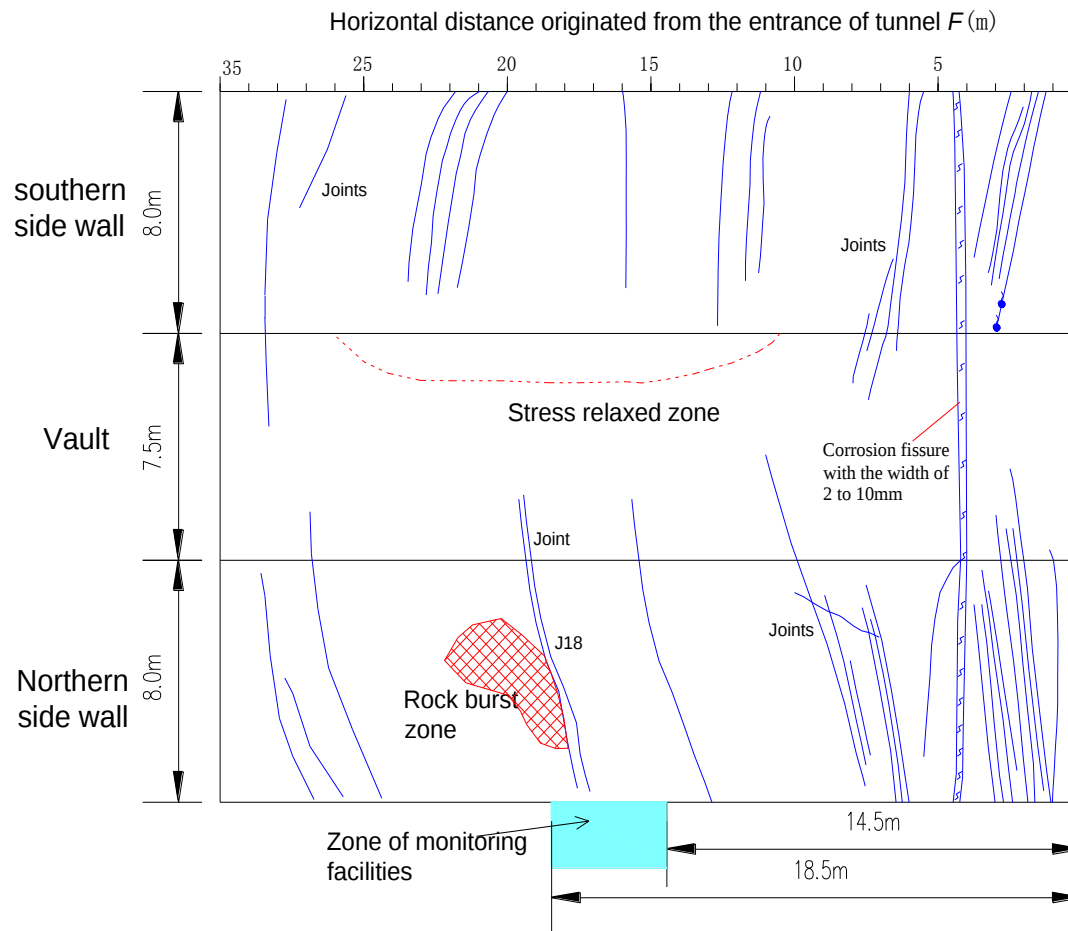
□ Observation and calculation during the excavation layer 3

✓ Observation in the boreholes at PB2: 0+90





Fracture evolution and rockburst



Unfolded geological sketching of tunnel F in CJPL-1 project

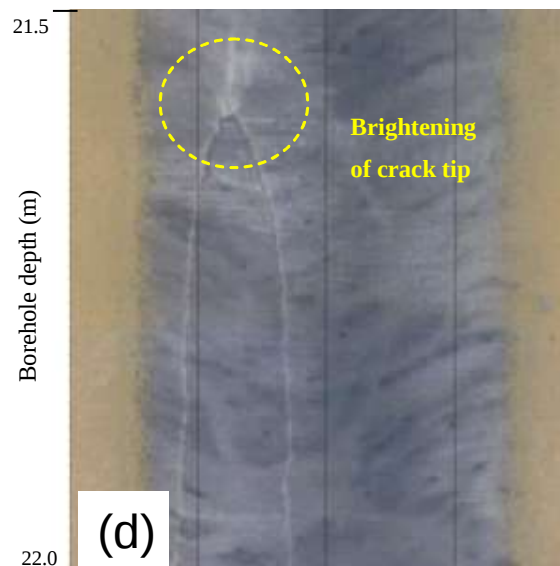
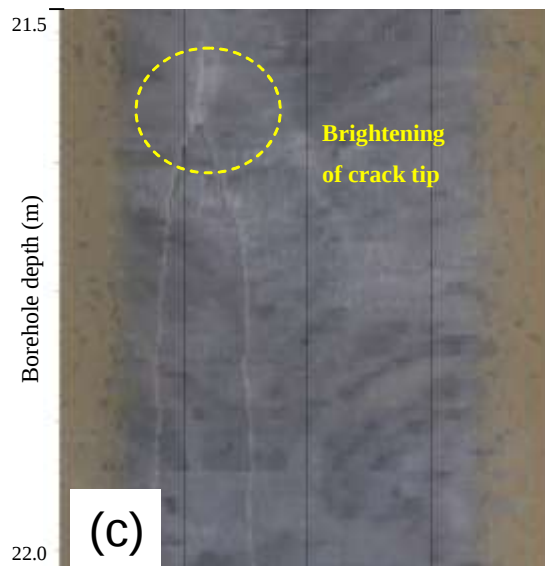
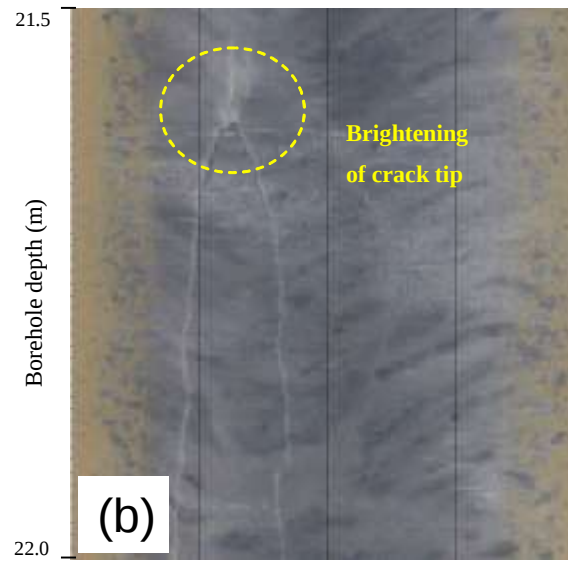
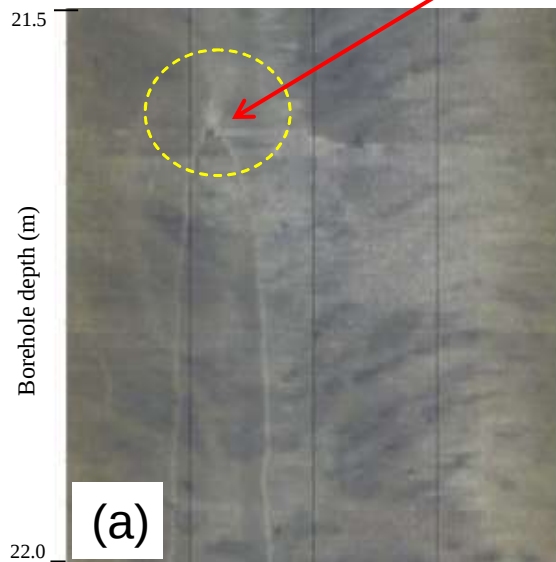


Rockburst occurred on January 09, 2010, with the volume about 6.3 m³

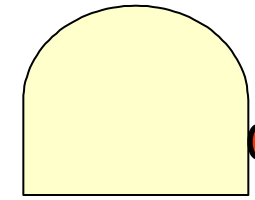


● Crack initiation and evolution

0.8m to the tunnel sidewall



Rockburst occurred on Jan 09



Color change of crack tip

(a) Oct. 13, 2009, before excavation

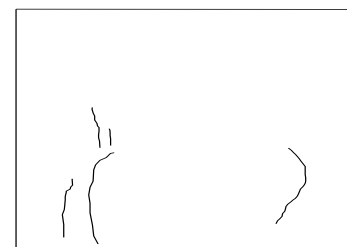
(b) Dec. 22, 2009, 19.3 m excavated at the top heading of test tunnel F

(c) Dec. 28, 2009, 33.1 m excavated at the top heading of test tunnel F

(d) Jan. 03, 2010, the excavation was finished at the top heading of test tunnel F



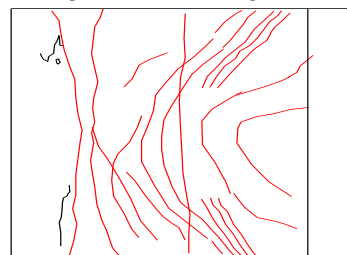
Evolution of cracks before rockburst



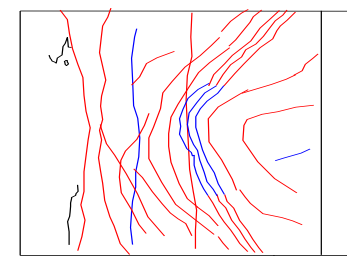
19m 20m



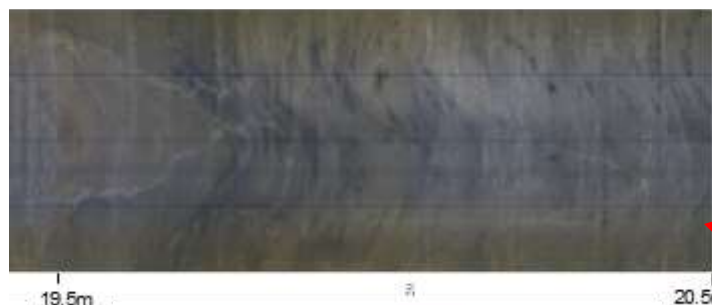
19m 20m



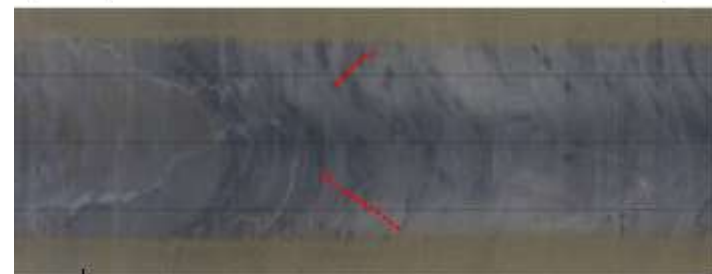
19m 20m



19m 20m



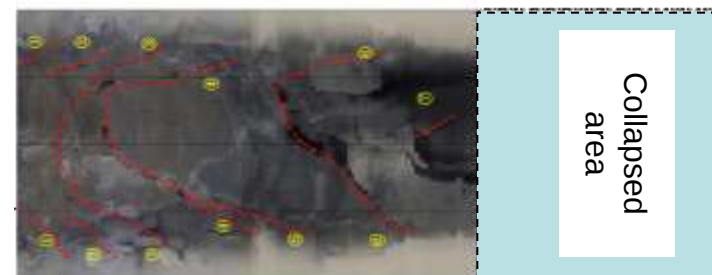
19.5m 20.5m



19.5m 20.5m



19.5m 20.5m



19.5m 20.5m

Rockburst occurred on Jan 09

2.0m to the tunnel sidewall

(a) Oct. 13, 2009, Pre-existed cracks before excavation

(b) Jan. 03, 2010, New cracks appeared in red line, the upper layer excavation finished

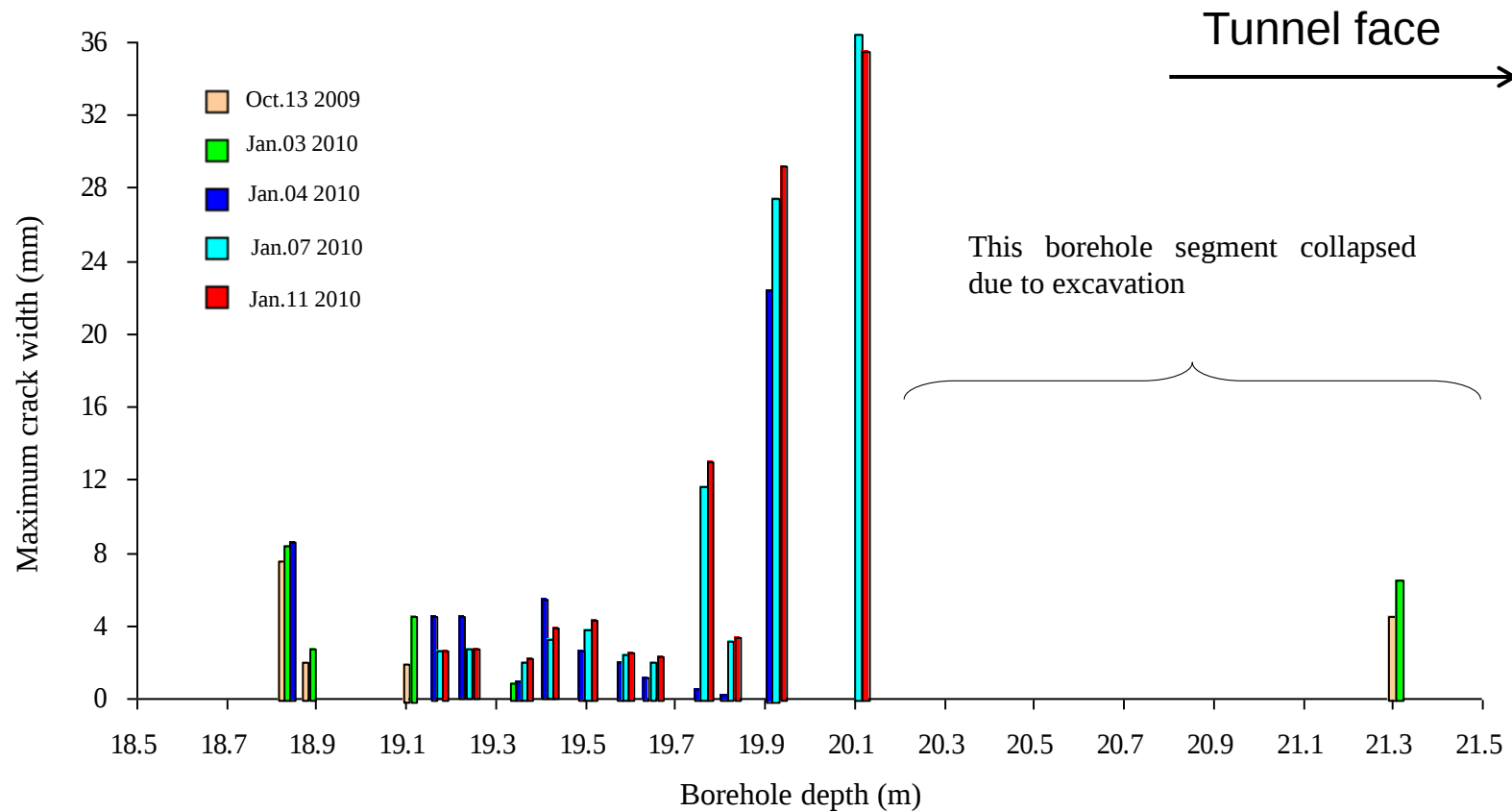
(c) Jan. 04, 2010, Abundant of new cracks appeared, 10.0 m excavated at the bottom layer

(d) Jan. 07, 2010, cracks run through, 21.0 m excavated at the bottom layer





Change of macro cracks' width in borehole M2-DB01 at different borehole depth



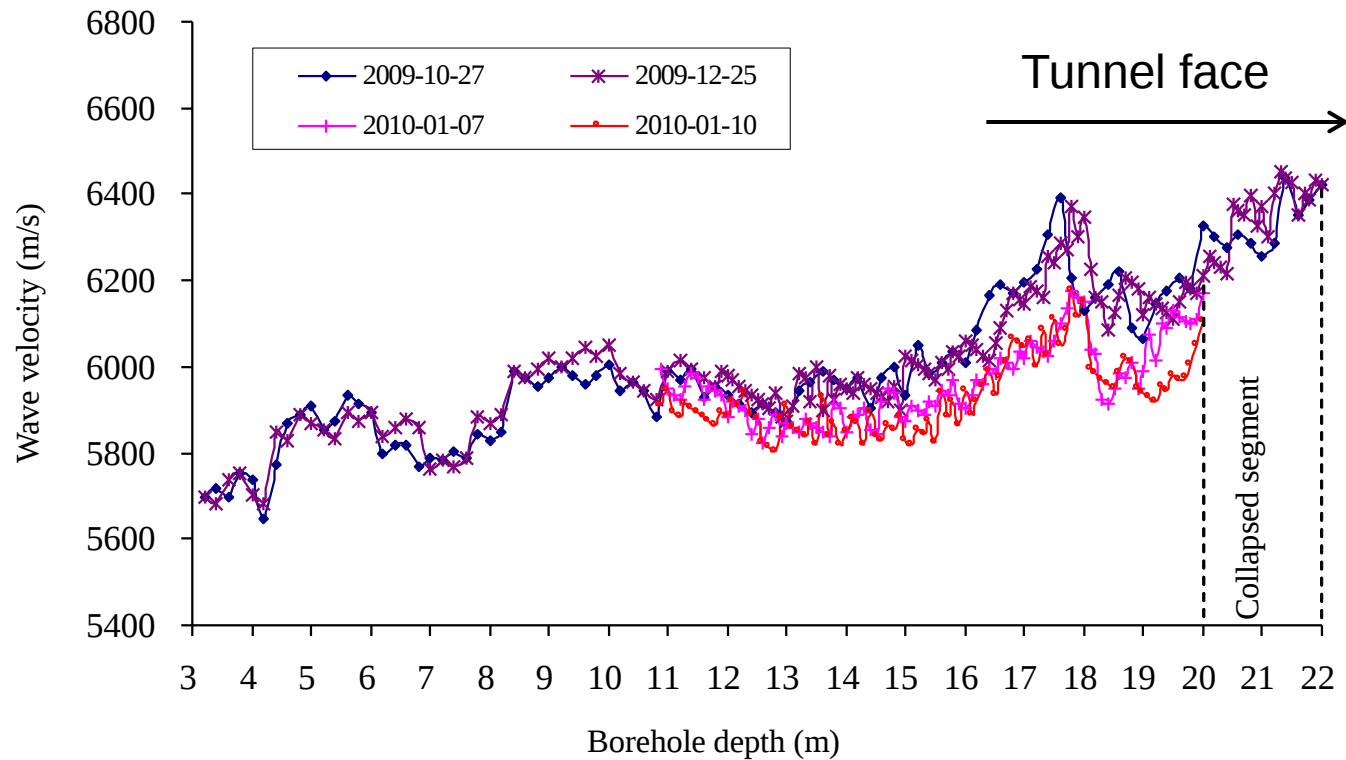
New cracks occurred, crack propagation and closure





● Change of elastic wave velocity

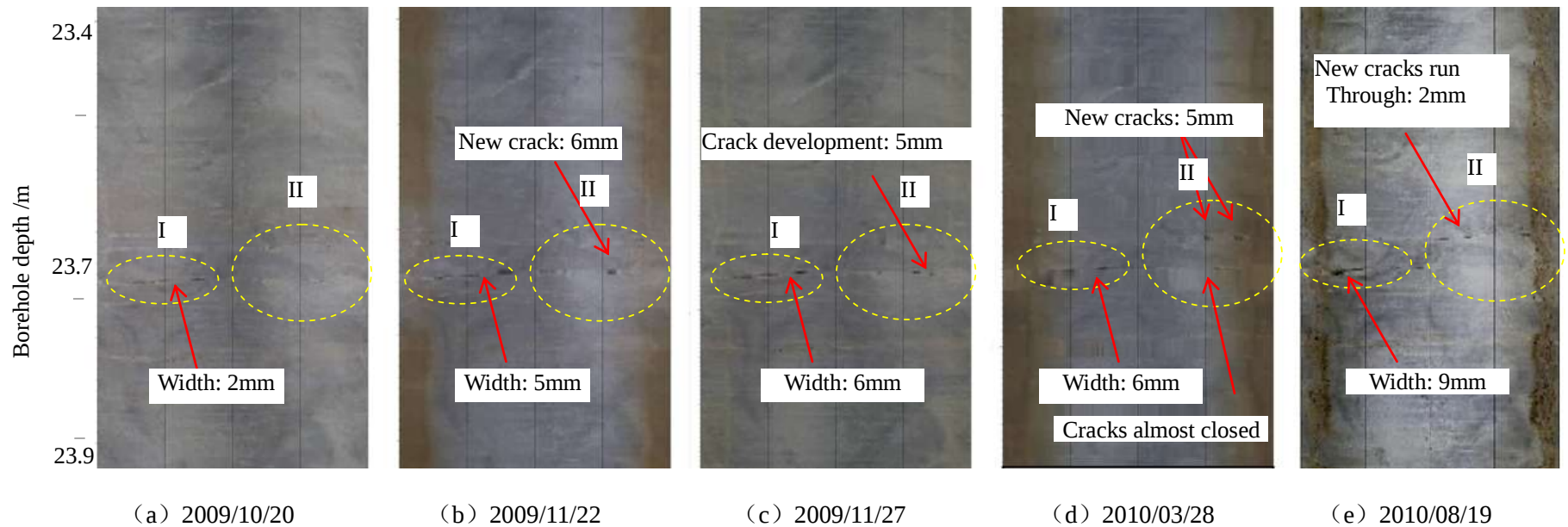
The decrease magnitude of elastic wave is up to 4%



The change of elastic wave of rock mass between monitoring boreholes M2-EW01 and M2-EW02 measured by cross-hole method



Time depended evolution of fracture in hard rock



← **During excavation** → | ← **After excavation** →

- 8 months after excavation
- New cracks occurred and existed joint propagation and closure



Conclusions

Important role of fracture *in situ* measurement for

- ✓ Formation and evolution process of excavation damaged
- ✓ Rock spalling process
- ✓ Rockburst evolution and prewarning
- ✓ Mechanism of stability of underground openings under deep environment and high stress condition





Thanks for your attention!

